

# **Briefing to the Special Committee on the Charter and on the Strengthening of the Role of the Organization**

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# **Outline of Annex in A/RES/64/115**

**I. General issues**

**II. Unintended side effects of sanctions**

**III. Implementation: Support to Member States**

**IV. Recruitment and Composition of Expert Panels**

**V. Other Secretariat Support to UN sanctions.**



# Key Points -1

- Discussions on sanctions issues focused within specific regimes; policy discussions external to the UN or focused on unilateral sanctions issues.
- Sanctions architecture/regimes largely the same.
- Security Council, committees, Panels and Ombudsperson adapted working methods; impacted by COVID-19.



## Key Points -2

- Sanctions lists updated and widely used; further enhancement to sanctions lists (Note Verbales in six languages).
- Review of sanctions regimes ongoing; increase in request for SG's reports.
- Due Process system update: Focal Point and Office of the Ombudsperson.



# Key Points -3

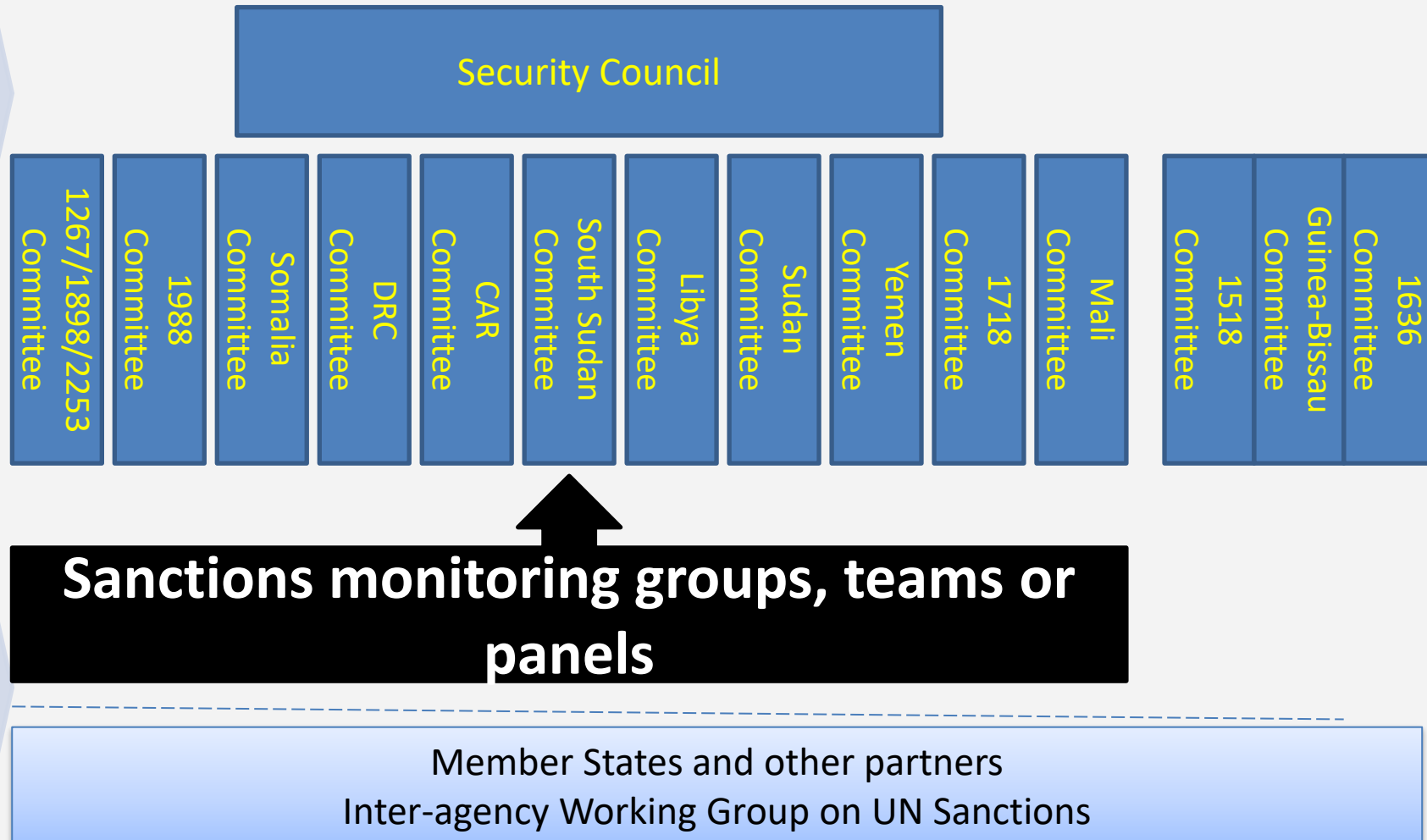
- **System** to mitigate against unintended humanitarian impact of UN sanctions.
- Support to **Member States** implementation of UN sanctions.
- 2020 **Recruitment and Composition** of Experts Panels.
- **Other Secretariat Support** to UN Sanctions



# United Nations Sanctions Architecture

SCAD

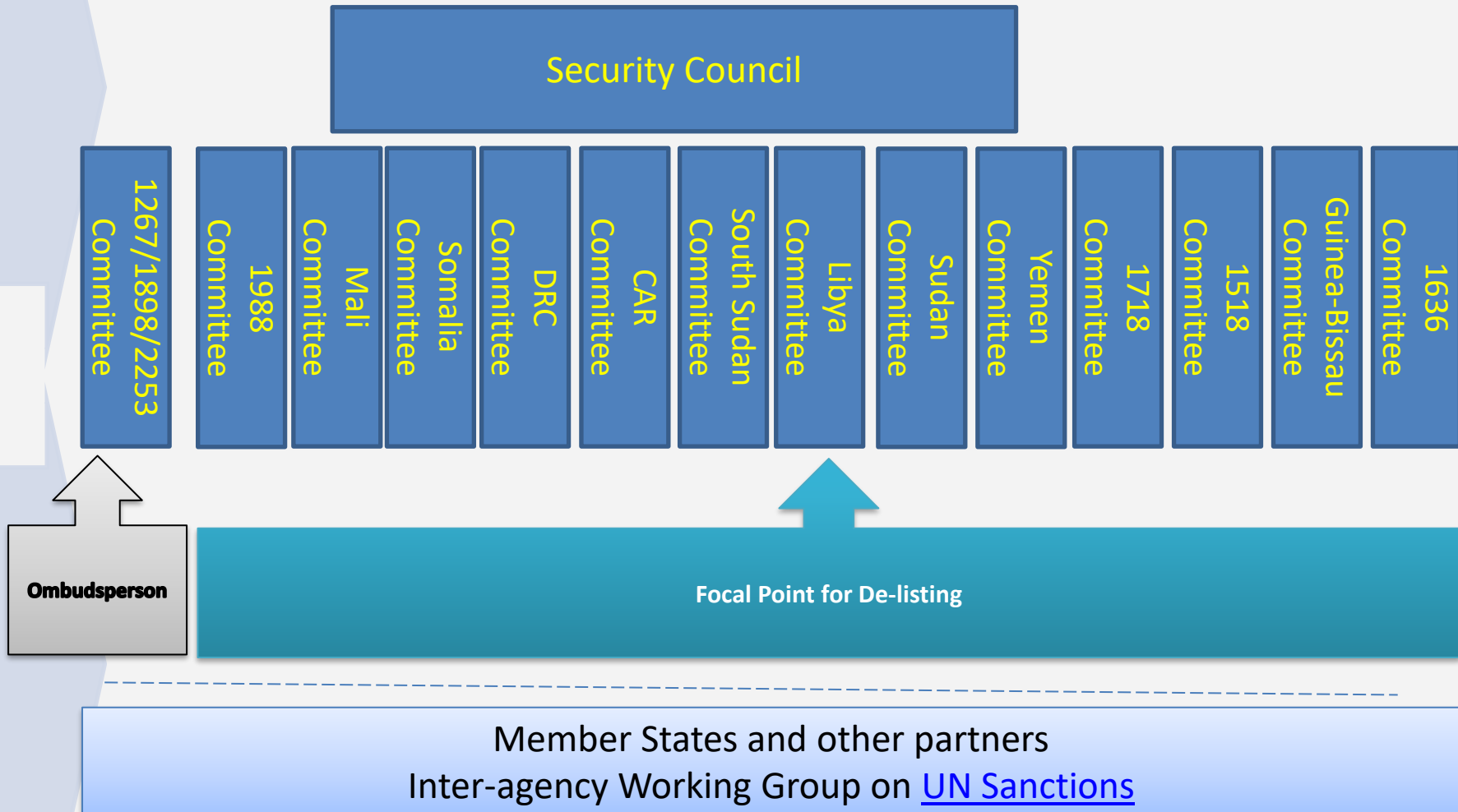
SCAD



# United Nations Sanctions Architecture

SCAD

SCAD



# **Impact of COVID-19 on Sanctions Work -1**

- **In 2020, 92 meetings (informal, formal and virtual); 149 meetings in 2019.**
- **Engagement with concerned and regional states (4 meetings in 2020 vs. 11 meetings in 2019).**
- **Briefings to Member States: 8 briefings (2019); 7 briefings (2020).**





# Impact of COVID-19 on Sanctions Work -2

- **Chair's visits: eight in 2019, one in 2020.**
- **Expert Panels travel reduced; other means to conduct investigations.**
- **SG's reports assessments conducted [remotely](#).**



# **Designations by Security Council and its committees -1**

- **709 individuals and 293 entities subject to United Nations measures such as assets freeze, travel ban and arms embargo (as of 18 January 2021).**
- **List of 59 vessels designated by 1718 Committee.**
- **Over 615 INTERPOL - UN Security Council Special Notices (as of 15 February 2021).**



# **Designations by Security Council and its committees -2**

- **In 2020, 6 individuals and 5 entities were added to the list (compared to 4 and 2, respectively, in 2019)**
- **In 2020, 21 individuals/entities were de-listed (compared to 81 individuals/ entities de-listed in 2019)**



# 2020 Sanctions Lists Usage -1

- ~29 million downloads (~9.5 million in 2018).
- Daily average downloads: 77,719 (~27,000 in 2018).
- Consolidated List: ~22.3 million downloads (~8.6 million in 2019).

**\*\*2020 system enhancement: Note Verbales communicating list changes now in 6 official languages.**



## 2020 Sanctions Lists Usage -2

- Consolidated List accessed at least 8.8 times more than individual sanctions lists.
- Languages:
  - 98% of users access the Consolidated List in English, with Spanish (1.5%) as the next highest.
  - Other languages are less than 1% each.



# Sanctions Review

- Mandates of expert panels reviewed.
- Mandated periodic reviews by the Council of sanctions measures.
- Mandated review of 1267 sanctions lists.
- Mandated SG's review of sanctions or benchmark setting for sanctions [measures](#).



# United Nations Sanctions Architecture

*Check against delivery*

SCAD

SCAD

Security Council

1267/1898/2253  
Committee

1988  
Committee

Mali  
Committee

Somalia  
Committee

DRC  
Committee

CAR  
Committee

South Sudan  
Committee

Libya  
Committee

Sudan  
Committee

Yemen  
Committee

1718  
Committee

1518  
Committee

Guinea-Bissau  
Committee

1636  
Committee

Ombudsperson

Focal Point for De-listing

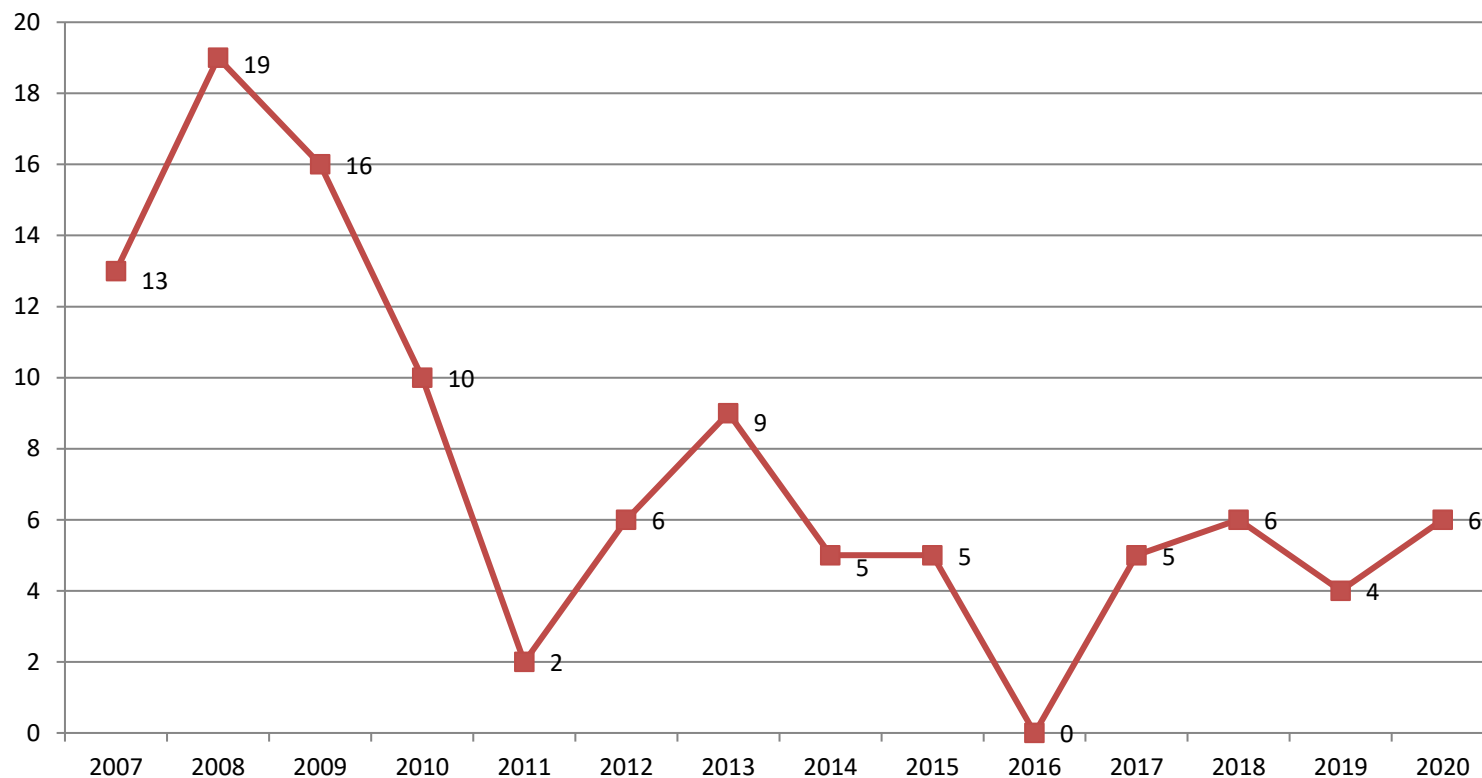
Member States and other partners  
Inter-agency Working Group on [UN Sanctions](#)



# Delisting: Focal Point

De-listing requests received per year

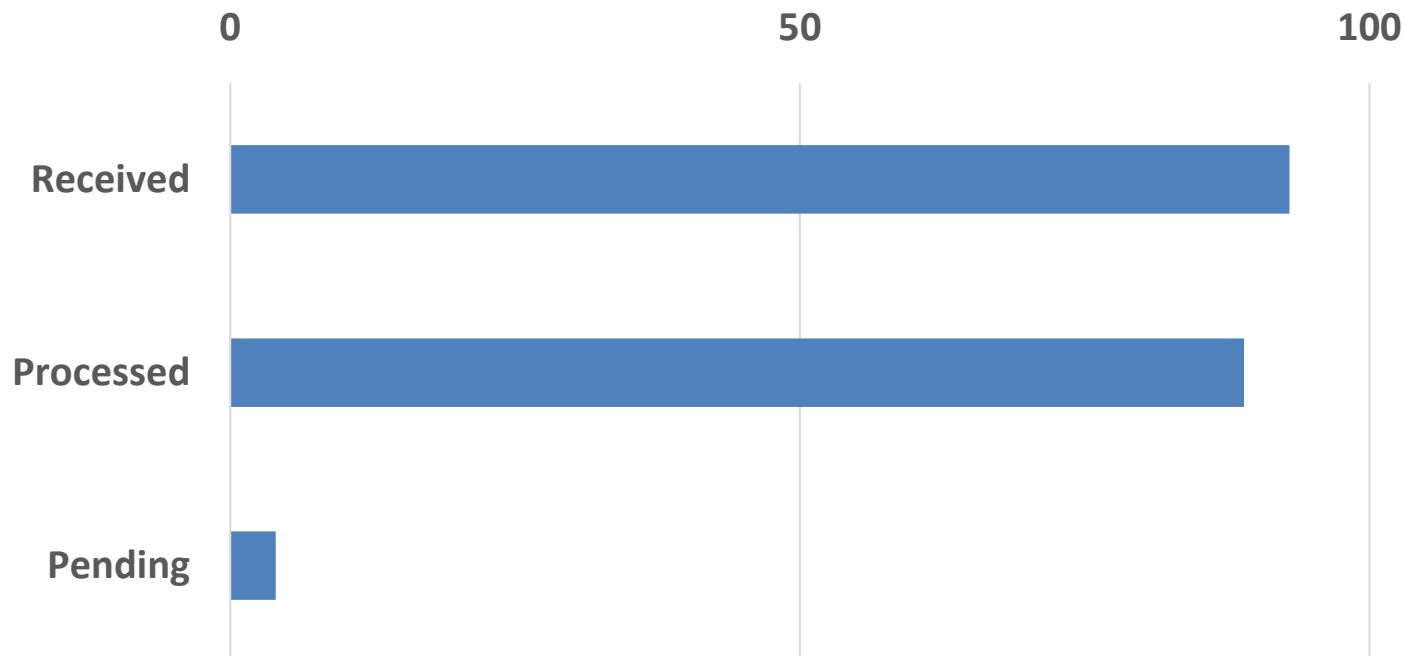
Total: 106





# Office of the Ombudsperson -1

- Since the Ombudsperson's office was established in 2009, a total of 93 requests have been received (95% have been fully completed)



## Office of the Ombudsperson -2

- In 2020, the Ombudsperson submitted four comprehensive reports on delisting petitions to the Committee.
- Since the last briefing, the Ombudsperson has submitted two reports to the Security Council: on 7 August 2020 (S/2020/782) and 8 February 2021 (S/2021/122).
- The Office has had its own SPM budget since 2019.



# Unintended Consequences of UN Sanctions

- **System of Exemptions.**
- **Monitoring and reporting via experts and their reports.**
- **Humanitarian/IHL experts in 8 of 10 expert panels:**
  - **CAR, DRC, Libya (new), Mali, Somalia, South Sudan, Sudan and Yemen**
- **Mandated OCHA briefing in 1718 (DPRK) and [751 \(Somalia\)](#).**



# Support to Member States

## Implementation Efforts -1

- Fact Sheets, updated annually, available online on Security Council website (Updated as of 8 January 2021). <https://www.un.org/securitycouncil/sanctions/information>
- 11 out of 14 sanctions regimes have States reporting obligations, nine have posted copies of the national implementation reports on their committee websites.
- 19 Implementation Assistance Notices (IANs).



# Support to Member States

## Implementation Efforts -2

- **New and Updated Implementation Assistance Notices (IANs).**
  - **2020 (new):**
    - *Summary of the Improvised Explosive Device (IED) components ban and regulations in place for exportation of explosive materials to Somalia.*
  - **2020 (updated):**
    - *Guidelines for Obtaining Exemptions to Deliver Humanitarian Assistance to the DPRK; and*
    - *Implementation of the travel ban in relation to the Libya sanctions regime.*



# Support to Member States

## Implementation Efforts -3

- Sanctions panels monitoring and reporting provide in-depth information.
- Reports of the Secretary-General on [sanctions issues](#).



# **Recruitment Process of Sanctions Experts -1**

- **Performance benchmark: 2 weeks to propose expert panel members to Committee (for existing panel); 6 weeks (for new panel).**
- **Competitive merit-based process with due regard for geographic and gender balance.**
- **~700 hours spent by Secretariat annually on interviews.**



## **Recruitment Process of Sanctions Experts -2**

- **Total of 9 NVs sent in 2020 notifying of upcoming vacancies.**
- **September 2018 onwards, vacancies posted in Inspira.**
- **Improve matching of applicants to terms of reference; expanded pool of prospective applicants.**
- **Roster: ~750 experts.**





# Composition of Expert Panels -1

- **As of end 2020 (recruitment ongoing for 2021)**
  - **7 out of 10 panels had experts from relevant regional states**
  - **All panels had at least one or more experts who speak the language(s) of the concerned and/or regional states.**
  - **All experts on the CAR, DRC and Mali Panels speak French.**



# Composition of Expert Panels -2

- **As of end 2020 (recruitment ongoing for 2021)**
  - **22 female experts (36% of 60).**
  - **100% panels had at least one female expert.**
  - **80% of Panels had 2 or more female experts (comprising between 25% and 66% of each panel)**
  - **Four of 10 Coordinators are women.**



# Composition of Expert Panels -3

- **Geographical representation y/y trend:**

<b>Regional Group</b>	<b>2019</b>	<b>2020</b>
<b>WEOG</b>	<b>52%</b>	<b>53%</b>
<b>Africa</b>	<b>22%</b>	<b>22%</b>
<b>Asia Pacific</b>	<b>17%</b>	<b>17%</b>
<b>GRULAC</b>	<b>2%</b>	<b>2%</b>
<b>Eastern Europe</b>	<b>8%</b>	<b>7%</b>



# Composition of Expert Panels -4

- Coordinator's nationalities as of Jan 2021:
  - Australia, France (2), Italy, UK (2)
  - DRC, India, Kenya, Sri Lanka



## **Other Secretariat Support to UN Sanctions**

- **Enhancing sanctions-specific expertise of experts**
- **Promoting inter-panel cooperation**
- **Promoting UN system knowledge and engagement on UN sanctions**
- **Outreach to private sector**
- **Sanctions training and education for international community.**



***End of Presentation***

***Thank you***

**For more information on Security Council  
sanctions:**

**<https://www.un.org/securitycouncil/sanctions/information>**

